



CONDUCT INITIAL ANALYSIS

Product issuers and distributors:

- Analyse obligations, products and distribution of products (which may include the rationalisation of duplicated products or archiving legacy products)



Ash St. Resource: Product Review Tool

DEVELOP AN IMPLEMENTATION PLAN

- Develop a plan to implement obligations which may include a dedicated project team/resource



Ash St. Resource: DDO Implementation Checklist



RAISE AWARENESS

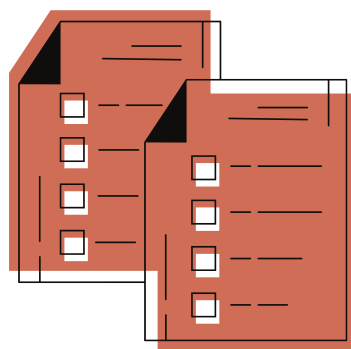
- Among your internal and external stakeholders to ensure they understand your key deadlines and that their input may be required.



Ash St. Service: Conducting Board/Senior Management Briefings on DDO

DEVELOP A PRODUCT GOVERNANCE FRAMEWORK & KEY SUPPORTING PROCESSES, REGISTERS, TEMPLATES

Ash St. Resources: Product Governance Framework, TMD Register, TMD Review Register, Distribution Register, Distribution Arrangements Register



MAKE TARGET MARKET DETERMINATIONS (TMD)

- Complete TMD assessments for each in-scope financial product



Ash St. Resources: TMD assessment templates & TMD templates

DDO GO LIVE

5 October 2021

